SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

## OMB APPROVAL

3235-OMB Number: 0104

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add Alexandria Investments	ting Person <sup>*</sup>	Requiring (Month/Da	2. Date of Event Requiring Statement (Month/Day/Year) 12/03/2020 3. Issuer Name <b>and</b> Ticker or Trading Symbol Silverback Therapeutics, Inc. [SBTX]							
					4. Relationship of Reporting Person(s) to Issuer (Check all applicable)			5. If Amendment, Date of Original Filed (Month/Day/Year)		
26 NORTH EUCLID AVENUE			_		Director Officer (give title below)	X 10% O Other ( below)	specify (Ch			
(Street) PASADENA CA 91101					belowy		X Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (S	State)	(Zip)								
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)				2. Amount of Securities Beneficially Owned (Instr. 4)	Form: D (D) or In	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)4. Nature of Indirect Beneficial Ownership (Instr. 5)				
Table II - Derivative Securities Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)										
E)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Se Underlying Derivative Se (Instr. 4)		4. Conversion or Exercise	Form:	6. Nature of Indirect Beneficial Ownership (Instr.	
			Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)	5)	
Series A redeer preferred stock		ertible	(1)	(1)	Common stock	384,748	(1)	D		
Series B redeer preferred stock		ertible	(1)	(1)	Common stock	314,664	(1)	D		

**Explanation of Responses:** 

1. The preferred stock is convertible into the Issuer's common stock at a ratio of 3.713 shares of preferred stock to 1 share of common stock at the holder's election and has no expiration date. The preferred stock will automatically convert into common stock upon the closing of the Issuer's initial public offering, for no additional consideration. **Remarks:** 

## **By: ALEXANDRIA** REAL ESTATE EQUITIES, INC <u>., a</u> 12/03/2020 Maryland corporation, managing member By: /s/ Dean A. Shigenaga Chief **Financial Officer** Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.